FACSIMILE TRANSMISSION TO THE U.S. PATENT AND TRADEMARK OFFICE

PLEASE DELIVER TO ATTN: Commissioner for Patents

Concerning the following application:

ATTORNEY DOCKET NO.: 18396/2112

Application of:

Sattelle, et al.

Serial No.:

10/010,873

Filed:

December 7, 2001

Entitled:

RECOMBINANT NEMATODE

NICOTINIC RECEPTOR AND

USES

Examiner:

Not Yet Assigned

Group Art Unit: 1632

Conf. No.:

7464

Facsimile No.: 1-703-746-9195 Our Facsimile No.: 1-617-227-4420 Number of pages including cover sheet: 7

Transmitted herewith: Transmittal Letter; Request for Corrected Filing Receipt; Copy of Page 1

of Application; Marked-Up Version of Official Filing Receipt

CERTIFICATION

I hereby certify that the paper identified above is being facsimile transmitted to the U.S. Patent and Trademark Office, on the date indicated below.

Name: Barbara A. Gyure Registration No.: 34,614 Palmer & Dodge LLP 111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100

Atty. Docket No.:

18396/2112

Group Art Unit:

PATENT

Not Yet Assigned

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Sattelle, et al.

10/010,873

Filed:

Serial No.:

December 7, 2001

Entitled:

RECOMBINANT NEMATODE

NICOTINIC RECEPTOR AND USES

Conf. No.:

Examiner:

1632 7464

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.6d

I hereby certify that this correspondence and any paper referred to as being enclosed are being facsimile transmitted on the date indicated above to the Commissioner for Patents, Fax No. (703) 746-9195

Brenda M. Woods

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Enclosed for filing the above-identified patent application, please find the following documents:

1. Request for Corrected Filing Receipt;

Jane (6, 2004

2. Copy of Page 1 of the Application filed 12/7/01; and

Marked-up version of Official Filing Receipt.

The Commissioner for Patents is hereby authorized to charge any fees to Deposit Account No. 16-0085, Reference 18396/2112. A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Name: Barbara A. Gydire

Registration No.: 34,614 Palmer & Dodge LLP 111 Huntington Avenue

Boston, MA 02199-7613 Tel: 617-239-0100

Atty. Docket No.:

18396/2112

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Sattelle, et al.

Serial No.:

10/010,873

Filed:

December 7, 2001

Entitled:

RECOMBINANT NEMATODE

NICOTINIC RECEPTOR AND USES

Examiner:

On Yet Assigned

Group Art Unit:

Conf. No.:

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.6d

I hereby certify that this correspondence and any paper referred to as being enclosed are being facsimile transmitted on the date indicated above to the Commissioner for Patents, Fax No. (703) 746-9195

Brenda M. Woods

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Enclosed for filing the above-identified patent application, please find the following documents:

1. Request for Corrected Filing Receipt;

June (6, 2004

- Copy of Page 1 of the Application filed 12/7/01; and 2.
- Marked-up version of Official Filing Receipt.

The Commissioner for Patents is hereby authorized to charge any fees to Deposit Account No. 16-0085, Reference 18396/2112. A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted.

Name: Barbara A. Gyare Registration No.: 34,614

Palmer & Dodge LLP 111 Huntington Avenue

Boston, MA 02199-7613

Tel: 617-239-0100

Atty. Docket No.: 18396/2112

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Sattelle, et al.

Examiner:

Not Yet Assigned

Serial No.:

10/010,873 December 7, 2001

Group Art Unit:

1632

Filed: Entitled:

RECOMBINANT NEMATODE

Conf. No.:

7464

NICOTINIC RECEPTOR AND USES

CERTIFICATE OF FACSIMILE TRANSMISSION

UNDER 37 C.F.R. § 1.6d

I hereby certify that this correspondence and any paper referred to as being enclosed are being facsimile transmitted on the date indicated above to the Commissioner for Patents, Fax No. (703) 746-9195

Brenda M. Woods

Marge of Person Faxing Paper

Co To Words Signature of Person Faxing Paper

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants request issuance of a corrected Official Filing Receipt for the above-identified patent application. Although at the time of filing a large entity fee was paid, the entity is and has been a small entity. The filing receipt should claim small entity status as noted on the marked up version of the Official Filing Receipt.

In addition, the filing receipt should list the title of the application as "Recombinant Nematode Nicotinic Receptor and Uses" as indicated on page 1 of the Application filed on December 7, 2001 (copy enclosed). Please add to Filing Receipt in your proper manner.

A copy of the official Filing Receipt is enclosed with the corrections highlighted in red and underlined. It is believed that no further fees are due for this application.

Respectfully submitted,

Date: June 16, 2004

Name: Barbara A. Gydre Registration No.: 34,614 Palmer & Dodge LLP 111 Huntington Avenue

Boston, MA 02199-7613

Tel: 617-239-0100



United States Patent and Trademark Office

UNITED STATES BECARTMENT UF COMMENDED AS United States Patent and States COMMISSIONER FOR PATENTS AND ASSESSMENT OF PATENT

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/010,873	12/07/2001	1632	870	18396/2112	8	12	2

29933 PALMER & DODGE, LLP KATHLEEN M. WILLIAMS 111 HUNTINGTON AVENUE BOSTON, MA 02199

Date Mailed: 03/31/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David Sattelle, Oxford, UNITED KINGDOM; Emmanuel Culetto, Orsay, FRANCE; Howard Baylis, Cambridge, UNITED KINGDOM;

Domestic Priority data as claimed by applicant

This application is a CIP of PCT/GB00/02270 06/09/2000

Foreign Applications

UNITED KINGDOM GB99/13248.2 06/09/1999 ...

If Required, Foreign Filing License Granted: 02/05/2002

Projected Publication Date: 07/08/2004

Non-Publication Request: No

Early Publication Request: No

Small Entity

Title

Recombinant nematode nicotinic receptor and uses

)

1

Preliminary Class

514

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

)

Express Mail Label No.: BL326923779US
Date of Deposit: December 7, 2001
Atty. Docket No.: 18396/2112

Recombinant Nematode Nicotinic Receptor and Uses

Introduction



The nematode C. elegans has permitted identification and functional analysis of novel genes expressed in the nervous system (Bargmann, 1998). This invertebrate animal provides a highly effective genetic model with which to analyse in vivo molecules involved in chemical synaptic transmission (Jorgensen and Nonet 1995; Rand and Nonet 1997; Sattelle 1998). Neuromuscular cholinergic synapses in C. elegans have been analysed in detail stimulated by the finding that two major postsynaptic components, acetylcholinesterase (AChE, EC 3.1.1.7) and the nicotinic acetylcholine receptor (nAChR), are targets for widely used anthelmintic drugs. The hydrolytic enzymes AChEs which terminate the actions of ACh are inhibited by carbamates and organophosphates (Massoulié et al 1993). The nAChRs mediate the fast actions of the neurotransmitter ACh. When ACh binds to an nAChR molecule, the receptor molecule becomes transiently permeable to cations (Na⁺, K⁺, Ca²⁺). Anthelmintic drugs such as levamisole, pyrantel and morantel are agonists and open channel blockers at native nematode muscle nAChRs (Martin 1996). The studies to date of cholinergic anthelmintic actions on recombinant ACR-16 (=Ce21) homomeric (probably neuronal) nAChRs (Ballivet et al; Raymond et al 1999) do not mimic the actions observed on native nematode muscle nAChRs.

Five polypeptide subunits surround a central ion channel in each nAChR molecule, each polypeptide having four transmembrane regions (M1-4) and a large N-terminal extracellular domain containing residues that form the ACh binding sites (Karlin, 1993; Unwin 1993; Lena & Changeux 1998). These subunits are classified as either α subunits, possessing two adjacent cysteines in loop C of the ACh binding site or non-α subunits, with no such adjacent cysteine motif.

Radioligand binding studies suggest the possibility of a diversity of nAChRs in C. elegans. For example, using [³H]meta-amino levamisole, a high saturable affinity binding activity has been observed and is regulated in the course of development, the highest binding activity being detected in larval stages (Lewis et